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IFW 3624

PTO/SB/21 (08-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/750,417

Filing Date

December 27, 2000

First Named Inventor

Stuart J.CLENAGHAN, et al.

Group Art Unit

3624

Examiner Name

Lalita M. Hamilton

Total Number of Pages in This Submission

Attorney Docket Number

74577-052

### ENCLOSURES (check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment / Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Documents

☐

Response to Missing Parts/  
Incomplete Application

☐

Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation  
Change of Correspondence  
Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

After Allowance Communication  
to Group

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to Group  
(*Appeal Notice, Brief, Reply Brief*)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (*please  
identify below*):

1- Amendment

2-Return postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual Name

Abigail F. Cousins, Reg. No. 29,292

Signature

Abigail Cousins

Date

July 18, 2006

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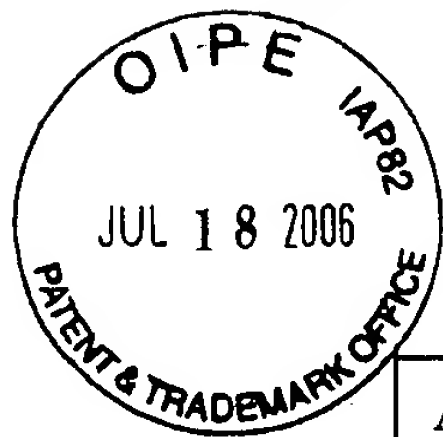
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Group Art Unit 3624 Examiner Lalita M. Hamilton

Application of :	Stuart J. CLENAGHAN, ET AL.
Filed: :	December 27, 2000
Serial No. :	09/750,417
Title :	METHOD AND APPARATUS FOR SELLING FINANCIAL INSTRUMENTS

**AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the NON-FINAL Office Action dated April 18, 2006, please amend the  
above-identified patent application as follows:

**The listing of claims** begins on page 2 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.